



ITEM ID: 2023-284-0

TRANSMITTAL DATE: October 20, 2023

MEETING DATE: October 27, 2023

TO: Board of Directors

FROM: Paul Hubler, Chief Strategy Officer

SUBJECT: Grants Quarterly Update - 4th Quarter, Fiscal Year 2023

Issue

Staff is providing an update of grant acquisition, reprogramming and closeout activity for the period of April 1, 2023 to June 30, 2023.

Recommendation

Receive and file.

Strategic Commitment

This report aligns with the Strategic Business Plan commitments of:

- **Modernizing Business Practices:** We will improve our operational efficiency through transparency, objective metrics and streamlined governance, reducing our over-reliance on subsidy while bringing our system into a state of good repair and investing in the development of our employees. The grant program helps to ensure reliable funding sources for maintaining the railroad in a state of good repair and adding capacity for increased reliability and future growth while reducing reliance on Member Agency contributions.
- **Advancing Key Regional Goals:** We will grow the role of regional rail in addressing climate change, air quality, and other pressing issues by advancing toward zero emissions, making rail a compelling alternative to single-occupant automobiles and advancing equity-focused opportunities for all communities throughout Southern California. The grant program also pursues grant opportunities to transition our technologies to lower or zero emissions technologies and to provide improved rider experiences that attract new ridership.

Background

The Authority applies for grants from federal, state, regional and local entities to assist in funding its rehabilitation and new capital programs. These programs are comprised of projects that rehabilitate, enhance and expand the Metrolink rail system.

This report focuses exclusively on federal, state and regional-level grant acquisition, reprogramming and closeout activities undertaken by staff for the purposes of supporting the rehabilitation and new capital programs. It discusses grants that the Authority has secured through a formal application process and for which the Authority has a direct reporting relationship to the federal, state or regional-level granting agency.

Discussion

Grant Activity Summary for April 1, 2023 to June 30, 2023

<i>Acquired (1)</i>	<i>Reprogrammed (13)</i>	<i>Closed (4)</i>
\$7.6 million	\$2.3 million	\$83.0 million

With one new federal grant of \$7.6 million acquired during the quarter, the Authority currently manages 32 active federal grants totaling \$473.3 million, 24 active state grants totaling \$1.308 billion and two active regional grants, totaling \$52.0 million, for a total portfolio of \$1.833 billion.

- Federal grants are summarized on Appendix A
- State grants are summarized on Appendix B
- Regional grants are summarized on Appendix C

Grant Activity Discussion

Acquisition

The Authority acquired one Federal Grant of \$7.6 million.

1. \$7.6 million from the FRA to provide supplemental funding to the 2019 CRISI award for the Burbank Corridor Speed and Safety Improvements Project which involves safety improvements at the Burbank Airport South and Burbank Downtown Stations. Total FTA funding for this project now totals \$18.3 million.

Reprogramming

Reprogramming of projects from previously approved Rehabilitation and Capital Program budgets occurs when projects are completed with savings or when deferred or cancelled projects cannot make use of grant funds in a timely manner. Thirteen reprogramming transactions were completed in the quarter comprised of \$0.9 million in federal funds, \$0.3 million of state funds, and \$1.1 million of local funds.

Closure

Grants are closed when all projects are completed within the work performance period allowed

under the grant. Two federal grants totaling \$14.5 million were closed which funded preventive maintenance and pandemic relief operating assistance. Two state grants totaling \$68.5 million were closed. These grants funded the acquisition of locomotives.

Next Steps

Any new grant acquisitions, reprogramming or closure activities during the next quarter will be reported at the November 2023 meeting.

Prepared by: Tom Schamber, Director, Grants

Approved by: Paul Hubler, Chief Strategy Officer

Attachment(s)

[Appendix A - Grant Activity Summary - Federal](#)
[Appendix B - Grant Activity Summary - State](#)
[Appendix C - Grant Activity Summary - Regional](#)
[Presentation - Grants Quarterly Update](#)